A SIMPLICIAL FORMULA AND BOUND FOR THE EULER CLASS

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ABSTRACT

Let G be the discrete group of orientation preserving diffeomorphisms of the circle. An explicit simplicial formula on the level of the bar construction is given for the Euler Class of a circle bundle with structure group G. An upper bound for the Euler Class is obtained which, when the base space of the bundle is a closed orientable surface, reduces to that of J. Wood. An invariant of circle bundles, complexity, is defined which "detects" the upper bound.

Let G^r be the group of orientation preserving C^r diffeomorphisms of the circle S^1 and let H^r be the subgroup of those which are the identity in a neighborhood of a given point of S^1 . For any discrete group G let $H_*(G)$ denote the integral homology of BG, that is $H_*(G) = H_*(K(G, 1), Z)$. In [3] I proved that there is a short exact sequence for $0 \le r \le \infty$, $r \ne 2$,

$$0 \to H_2(H') \to H_2(G') \xrightarrow{E} Z \to 0.$$

In this paper I will

- (1) give an explicit formula for E on the chain level of the bar construction on G',
- (2) identify E with the classical Euler Class,
- (3) use the formula in (1) to obtain an upper bound for the Euler Class of a circle bundle with structure group G on any given CW complex, and
- (4) define an invariant of a circle bundle, the complexity, which detects the upper bound.

My intention in this paper is to present a completely simplicial treatment of the Euler Class. All the analysis is very elementary and carried out on the level

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of the simplicial nerve of G. The formula given for E in §1 assigns $0, \frac{1}{2}$ or $-\frac{1}{2}$ to every 2-simplex (g, h) of the nerve of G according to an "ordering" of (g(0), h(0)):



with all other configurations of (g(0), h(0)) given the value 0 (formula *). Any cycle turns out to be integer valued (Proposition 1) and the above assignment is well defined on homology (Proposition 2).

Recall the theorem of J. Wood, [7]. If X is a closed orientable 2-manifold, [X] its fundamental class and $h: X \to BG$ any map, then $-|Eh_*[X]|$ is greater than the Euler characteristic of X, $\varepsilon(X)$. The bound given for E in Theorems 4 and 5 of this paper is a simplicial version of Wood's theorem.

For any space X and for any $\alpha \in H_2(X)$ I define an integer $\kappa(\alpha)$ called the complexity of α which is roughly the number of 2-cells needed to construct α . Then for any map $h: X \to BG$ the following inequality must hold (Theorem 4):

$$|Eh_{*}\alpha| \leq \kappa(\alpha)/2.$$

The right-hand side is independent of h so gives an obstruction to the existence of h. That such a bound exists will be seen to be a very simple consequence of the fact that E can be defined simplicially on the nerve of G.

The number $\kappa(\alpha)$ is the integer analogue of Gromov's norm $\| \|$ on $H_*(X, \mathbb{R})$ [2]. Over the reals the bound becomes

$$|Eh_{\star}\alpha| \leq ||\alpha||/2$$

for all $\alpha \in H_2(X, \mathbf{R})$.

If X is a closed surface this reduces exactly to Wood's inequality. For take $\alpha = [X]$. Then $||X|| = -2\varepsilon(X)$ (see [2]), and (2) becomes $-|Eh_*[X]| \ge \varepsilon(X)$. So this is the best available bound which would work for spaces.

We finally reformulate our theorem in a way that makes more sense over general spaces, for it does not depend on a choice of α . Consider the affine subspace $Eh_{*}^{-1}(1) \subset H_{2}(X, \mathbb{R})$. Define the *complexity of a bundle y over X*, $\kappa(\gamma)$,

to be the inf of the norms of all the elements in this space. I will show (and it is an easy consequence of (2)) that if γ reduces to a bundle with discrete structure group then $\kappa(\gamma) \ge 2$.

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1. An invariant $e: H_2(G) \rightarrow Z$

Let G stand for any of the groups G'. Recall the construction of the simplicial nerve of G. $NG: k \to N_kG$ is a simplicial set with k cells (g_1, \ldots, g_k) , $g_i \in G, k \ge 1$ and one 0-cell. The faces are given by

$$\partial_0(g_1, \ldots, g_k) = (g_1^{-1}g_2, \ldots, g_1^{-1}g_k);
\partial_i(g_1, \ldots, g_k) = (g_1, \ldots, \hat{g}_i, \ldots, g_k), \quad 1 \le i \le k.$$

The degeneracies $\sigma_i : N_k G \rightarrow N_{k+1} G$ are given by

 $\sigma_i(g_1,\ldots,g_k)=(g_1,\ldots,g_i,g_i,\ldots,g_k).$

|NG| = BG = K(G, 1) so the homology $H_*(G)$ is given by the homology of the simplicial set NG. This is the classical bar construction.

Now consider a pair $(g, h) \in G \times G$. Lift g and h to orientation preserving periodic diffeomorphisms of **R**, \tilde{g} , \tilde{h} so that $\tilde{g}(0) \in [0, 1)$ and $\tilde{h}(0) \in [0, 1)$.

FORMULA *. Set

$$\begin{cases} e(g, h) = \frac{1}{2} & \text{if } 0 < \tilde{g}(0) < \tilde{h}(0), \\ e(g, h) = -\frac{1}{2} & \text{if } 0 < \tilde{h}(0) < \tilde{g}(0), \\ e(g, h) = 0 & \text{in all other cases.} \end{cases}$$

Extend e to a homomorphism from $C_2(G) =$ free abelian group on $G \times G$ to **Q**. A cycle $z \in \mathbb{Z}_2(G) \subset C_2(G)$ is given by $z = \sum_{i=1}^n (g_i, h_i)$ satisfying

$$\sum_{i=1}^{n} (g_1^{-1}h_i - h_i + g_i) = 0.$$

A boundary $w \in B_2(G)$ is a sum of cycles of the form

$$(g^{-1}h, g^{-1}k) - (h, k) + (g, k) - (g, h)$$

and

$$H_2(G) = Z_2(G)/B_2(G).$$

THEOREM 1. There is a well defined homomorphism $e: H_2(BG) \rightarrow Z$ defined by *.

This will follow from

PROPOSITION 2. For $z \in Z_2(G)$, e(z) is an integer.

PROPOSITION 3. For $z \in B_2(G)$, e(z) = 0.

To verify Proposition 1 first note that if $z = \sum_{i=1}^{n} (g_i, h_i)$ is a cycle, then *n* is even. If $e(g_i, h_i) = \pm \frac{1}{2}$ for a given *i* then *none* of the faces $g_i^{-1}h_i$, g_i or h_i has a fixed point at $[0] \in \mathbb{R}/\mathbb{Z} = S^1$. On the other hand, if $e(g_i, h_i) = 0$ either one or all three (in any case an odd number) of faces has a fixed point at $[0] \in S^1$. Since the faces with fixed points must cancel among themselves, the number of (g_i, h_i) with $e(g_i, h_i) = 0$ must be even. So the number of (g_i, h_i) with $e(g_i, h_i) = \pm \frac{1}{2}$ must also be even, proving the first proposition.

The fact that the invariant e does not bound, i.e. that Proposition 2 is true, can be deduced from results in [3]. But to make the construction of e self-contained I will prove Proposition 2 directly.

To prove Proposition 2 it must be shown that for all g, h, k

 $(e[(g^{-1}h, g^{-1}k) - (h, k) + (g, k) - (g, h)]) = 0.$

There are several cases to verify. I will check the typical ones and leave the rest to the reader. By then the essential points will be evident.

Suppose that $0 < \tilde{h}(0) < \tilde{k}(0)$. If $g^{-1}(0) = 0$ then $e(g^{-1}h, g^{-1}k) = \frac{1}{2}$, $e(h, k) = \frac{1}{2}$, e(g, k) = 0 and e(g, h) = 0 so that e = 0.



So assume $\widetilde{g^{-1}}(0) \neq 0$. Then $\widetilde{g^{-1}}h(0)$ and $\widetilde{g^{-1}}k(0)$ are related in one of the following ways:







The following equations and inequalities and corresponding values of e are evident from the above graphs.

Case (a):
$$0 < g^{-1}h(0) < g^{-1}k(0) \to e = \frac{1}{2}$$

 $0 < \hat{h}(0) < \hat{k}(0) \to e = \frac{1}{2}$
 $0 < \hat{k}(0) < \hat{g}(0) \to e = -\frac{1}{2}$
 $0 < \hat{h}(0) < \hat{g}(0) \to e = -\frac{1}{2}$.

So e = 0 in this case.

Case (b):
$$\widetilde{g^{-1}k}(0) = 0 \rightarrow e = 0$$

 $0 < \tilde{h}(0) = \tilde{k}(0) \rightarrow e = \frac{1}{2}$
 $\tilde{g}(0) = \tilde{k}(0) \rightarrow e = 0$
 $\tilde{h}(0) < \tilde{g}(0) \rightarrow e = -\frac{1}{2}$

So e = 0 in this case.

Case (c):
$$0 < g^{-1}k(0) < g^{-1}h(0) \rightarrow e = -\frac{1}{2}$$

 $0 < \hat{h}(0) < \hat{k}(0) \rightarrow e = \frac{1}{2}$
 $0 < \hat{g}(0) < \hat{k}(0) \rightarrow e = \frac{1}{2}$
 $0 < \hat{g}(0) < \hat{g}(0) \rightarrow e = -\frac{1}{2}$.

So e = 0 in this case.

Case (d):
$$\widetilde{g^{-1}h(0)} = 0 \rightarrow e = 0$$

 $0 < \widetilde{h}(0) < \widetilde{k}(0) \rightarrow e = \frac{1}{2}$
 $0 < \widetilde{g}(0) < \widetilde{k}(0) \rightarrow e = \frac{1}{2}$
 $\widetilde{g}(0) = \widetilde{h}(0) \rightarrow e = 0.$

So e = 0 in this case.

Case (e):
$$0 < \tilde{g^{-1}h(0)} < \tilde{g^{-1}k(0)} \rightarrow e = \frac{1}{2}$$

 $0 < \tilde{h(0)} < \tilde{k(0)} \rightarrow e = \frac{1}{2}$
 $0 < \tilde{g(0)} < \tilde{k(0)} \rightarrow e = \frac{1}{2}$
 $0 < \tilde{g(0)} < \tilde{h(0)} \rightarrow e = \frac{1}{2}$.

Now if instead $0 < \tilde{k}(0) < \tilde{h}(0)$, an exact case by case analysis again shows e = 0.

Finally, the cases $\tilde{h}(0) = \tilde{k}(0)$, and $\tilde{h}(0) = 0$ or $\tilde{k}(0) = 0$ can all be verified by the same procedure as above.

2. Identification of the invariant e with the Euler Class

In this section I will explicitly construct a cycle $z \in Z_2(BG)$ whose associated homology class $[z] \in H_2(BG)$ satisfies e[z] = 1. To do this I will define a homomorphism $f: \pi_1(M) \to G$, for M a closed surface. This will induce a map $f: M \to BG$ and then [z] will be $f_*[M]$ for M the fundamental class of M and f_* the induced homomorphism $H_2(M) \to H_2(BG)$. Now there is an induced bundle over M, $\gamma(f)$, with structure group G and hence a corresponding Euler Class $\chi(\gamma(f)): H_2(M) \to Z$. I will show that $-\chi(\gamma(f))[M] = 1$ for the particular [z] I construct.

Now every element of H_2 of any group G can be represented as a sum of classes of the form $g_*[X]$ where X is a closed orientable surface and g_* is induced by a homomorphism $g: \pi_1(X) \to G$. (See for example the construction of H_2 of a group given in [6].) As a consequence χ can be considered to be a homomorphism $H_2(BG) \to Z$. I will show that $e = -\chi$. To make this identification I will use J. Wood's algorithm for computing χ , [7].

CONSTRUCTION OF [z]. Consider SL(2, **R**) as a subgroup of G by letting it act on the set of unoriented lines in \mathbf{R}^2 which can be identified with S^1 . Namely if

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbf{R})$$

then

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} ax + by \\ cx + dy \end{pmatrix}$$

and as a diffeomorphism takes the line with slope z = y/x to the line with slope cx + dy/ax + by = c + dz/a + bz.

Let

$$Q = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad R = \begin{pmatrix} 2 & 0 \\ 0 & 1/\sqrt{2} \end{pmatrix}, \quad S = \begin{pmatrix} 1 & 0 \\ 2 & 1 \end{pmatrix}.$$

Consider the commutators [Q, R] = A and [S, R] = B. Then

$$A = \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix} \text{ and } B = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$$

Furthermore

$$ABA = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

which as a diffeomorphism of S^1 is rotation by 180° and

$$(ABA)(ABA) = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$$

which as a diffeomorphism of S^1 is the identity.

The equation ABAABA = 1 determines a homomorphism $f: \pi_1(M) \rightarrow G$ where M is a surface of genus 6. To construct $f_*[M]$ consider the chains

$$c_{1} = (Q^{-1}R^{-1}, R^{-1}Q^{-1}) - (R^{-1}, Q) + (Q^{-1}, R) + (1, R) + (1, S) - (1, 1),$$

$$c_{2} = (S^{-1}R^{-1}, R^{-1}S^{-1}) - (R^{-1}, S) + (S^{-1}, R) + (1, R) + (1, S) - (1, 1),$$

$$c_{3} = (A, AB) + (AB, ABA) - (1, B) - (1, A),$$

$$\partial c_{1} = A, \quad \partial c_{2} = B$$

and

$$\partial c_3 = A + B + A - ABA = 2A + B - ABA$$

Now

$$c_4 = 2(c_1 + c_2 + c_1 - c_3) = 4c_1 + 2c_2 - 2c_3$$

satisfies $\partial c_4 = 2(ABA) = 2T$ where T is rotation by 180°. Set

$$z = -(c_4 - (1, T) - (1, 1)).$$

Then z is a cycle which is $f_*[M]$ as the following diagram easily shows.



Now to compute e[z] note that all of the 2-simplices making up this cycle except $(S^{-1}R^{-1}, R^{-1}S^{-1})$ of c_2 have at least one face with a fixed point at $[0] \in \mathbb{R}/\mathbb{Z}$. So e = 0 on all these simplices. On the other hand

$$S^{-1}R^{-1} = \begin{pmatrix} 1/\sqrt{2} & 0\\ -2/\sqrt{2} & \sqrt{2} \end{pmatrix}$$
 which is $z \to 2z - \frac{1}{2}$

and

$$R^{-1}S^{-1} = \begin{pmatrix} 1/\sqrt{2} & 0\\ -2/\sqrt{2} & \sqrt{2} \end{pmatrix}$$
 which is $z \to 2z - 4$.

This means
$$\widetilde{S^{-1}R^{-1}(0)} > \widetilde{R^{-1}S^{-1}(0)}$$
.
So $e(S^{-1}R^{-1}, R^{-1}S^{-1}) = -\frac{1}{2}$ and $e[z] = 1$ as claimed.



THEOREM 2. (a) $e: H_2(BG) \rightarrow Z$ is an epimorphism. (b) e = E where E is the invariant of [3]. (c) $e = -\chi$.

PROOF. The construction of z above proves (a). For (b) I will make use of the constructions in [3]. E is the homomorphism induced on H_2 by the projection of BG on the "component complex" $|\pi_*|$ (see [3], §2), followed by the isomorphism

 $H_2(|\pi_*|) \xrightarrow{\sim} Z$ ([3], lemma 5);

that is,

$$E: H_2(BG) \xrightarrow{P} H_2(|\pi_{\star}|) \xrightarrow{\sim} Z.$$

The 2-cycle $\alpha = (\frac{1}{3}, \frac{2}{3}) - (\frac{2}{3}, \frac{1}{3})$ is a generator of $H_2(|\pi_*|)$ which maps to 1 under the isomorphism. Now a straightforward calculation shows that

$$P([z]) = 2 \cdot (\frac{2}{3}, \frac{1}{3}) - (\frac{1}{3}, 0) - (0, \frac{1}{3}).$$

Furthermore P([z]) is homologous to α in $|\pi_*|$:

$$\partial(\frac{2}{3}, 0, \frac{1}{2}) = (\frac{1}{3}, \frac{2}{3}) + (\frac{2}{3}, \frac{1}{3}) - (0, \frac{1}{3}) - (\frac{1}{3}, 0)$$

gives $P(z) - \partial(\frac{2}{3}, 0, \frac{1}{3}) = \alpha$.

So $E([z]) = E([\alpha]) = 1 = e([z])$.

I have proved that e and E agree on the homology class $[z] \in H_2(BG)$. The following argument will show they agree on all of $H_2(BG)$.

The construction of z defines a left inverse for E. So the main theorem of [3] becomes

$$H_2(G) \cong Z \oplus H_2(H)$$

and any homology class $[w] \in H_2(G)$ can be uniquely written

$$[w] = n \cdot E([z]) + [w]$$
 for $n \in \mathbb{Z}$, $[w] \in H_2(H)$.

e = E on ([z]), hence on [w] and this proves (b).

NOTE. J. Mather has proved that $H_2(H^0) = 0$, [4], so in the C^0 case e and E give isomorphisms $H_2(BG) \rightarrow Z$. On the other hand, the existence of the Godbillon-Vey invariant implies that $H_2(B^r) \neq 0$ for r > 2.

To prove (c) I will identify e with the invariant W of J. Wood (see lemma 2.1 of [7]) which is actually an algorithm for computing χ . W is easy to compute for the homomorphism $\pi_1(M) \rightarrow G$ defined by ABAABA = 1.

The lifts \tilde{A} and \tilde{B} of A and B have fixed points at $0 \in \mathbb{R}$, \tilde{B} satisfies $\tilde{B}(0) \in (0, \frac{1}{2})$. So $\tilde{A}\tilde{B}\tilde{A}(z) = z + \frac{1}{2}$. Then W = 1. Now $W = -\chi$, which is the algorithm of Wood, and this proves (c).

3. A bound for the Euler Class

I will begin this section by stating that part of the theorem of J. Wood ([7], theorem 1.1) which gives an obstruction to reducing the structure group of a circle bundle over a closed surface to a discrete one.

Here is the set up. Let G be any of the groups G' and let G_{top} be the group G with the C' topology. Suppose there is given an oriented S^1 bundle γ with structure group G_{top} over a closed oriented surface X. This is equivalent to being given a classifying map $\gamma: X \rightarrow BG_{top}$ (defined up to homotopy). It is well known that up to homotopy $BG_{top} = BS^1 = K(Z, 2)$ so there is an isomorphism

$$\chi: H_2(BG_{top}) \to Z$$

which can be thought of as the universal Euler class.

Now if the given G_{top} bundle reduces to G there is a classifying map $g\gamma$: $X \rightarrow BG$ and a commutative diagram



where the vertical arrow is induced by the identity $G \rightarrow G_{top}$.

On homology the following commutes:



(Recall for a discrete group H the notation is $H_2(H) = H_2(BH)$ which is $H_2(K(H, 1))$.) Let $\varepsilon(X)$ stand for the Euler characteristic of X and [X] for the fundamental class of X.

THEOREM 3 (Wood). If $\gamma: X \to BG_{top}$ factors through $g\gamma: X \to BG$ then $|eg\gamma_*[X]| \leq \varepsilon(X)$.

4. Complexity and simplicial versions of Wood's theorem

I will prove versions of Wood's theorem for any connected topological space. Consider the subcomplex of singular chains on X, $\hat{C}_*(X)$, consisting of those simplices mapping all vertices to a given base point of X. We can define a "norm" $\|\cdot\|_Z$ on $\hat{C}_*(X)$ by $\|\alpha\|_Z = \Sigma |m_i|$ where $c = \Sigma m_i \sigma_i$. Then on $H_*(X)$ define

 $\| \alpha \|_{Z} = \inf(\| c \|_{Z}, c \text{ is a cycle representing } \alpha).$

This is a restriction of Gromov's norm; it is the smallest number of 2-cells needed to build α , counted according to their multiplicities. Note that the simplicial set $\hat{S}(X) \subset S(X)$ of singular simplices mapping vertices to a base point is weakly homotopy equivalent to the full singular complex so that the homology of $\hat{C}_{*}(X)$ computes $H_{*}(X)$.

THEOREM 4. Let X be a connected topological space and $\alpha \in H_2(X)$ an arbitrary homology class. Let $g: X \to BG$ be any continuous map. Then

$$|eg_*\alpha| \leq \|\alpha\|_{Z}/2.$$

PROOF. First note that since BG is a K(G, 1) there is a 1-1 correspondence between [X, BG] and $\operatorname{Hom}(\pi_1(X), BG)$. On the other hand $\pi_1(X)$ is given by the free group on 1-simplices of $\hat{S}(X)$ modulo relations coming from 2simplices, so each element of $\operatorname{Hom}(\pi_1(X), BG)$ induces a simplicial map from $\hat{S}(X)$ to BG and conversely. Hence there is a 1-1 correspondence between homotopy classes of continuous maps [X, BG] and homotopy classes of simplicial maps $[\hat{S}(X), NG]_s$.

In particular the map g in the statement of the theorem is homotopic to the map induced on realizations by a simplicial map \hat{g} from S(X) to NG. Let

 $z = \sum m_i z_i$ be a cycle representing α so that $|m_1| + \cdots + |m_n|$ is as small as possible. Then

$$|eg_{\star}\alpha| = |\Sigma m_i eg_{\star}(z_i)| = \Sigma |m_i eg_{\star}(z_i)| \leq \Sigma |m_i| |eg_{\star}(z_i)|.$$

Each element $eg_{*}(z_i)$ is $\frac{1}{2}$, $-\frac{1}{2}$, or 0. Therefore

$$|eg_{\star}\alpha| \leq \Sigma |m_i|/2 = \|\alpha\|/2.$$

This proves Theorem 4.

The complexity of a circle bundle. The statement of Theorem 4 would be more satisfactory if there were a single "test element" β so that if the inequality $|eg_*\beta| \leq ||\beta||/2$ holds for β then it holds for all $\alpha \in H_2(X)$. To make such a choice possible requires passing to real homology.

Consider the real singular chain complex, but constructed only out of simplices mapping all vertices to a base point. Let $H_*(X, \mathbb{R})$ be the associated homology groups. The homomorphism e extends to a homomorphism $e_{\mathbb{R}}: H_2(X, \mathbb{R}) \to \mathbb{R}$. For any $\alpha \in H_2(X, \mathbb{R})$ there is the Gromov norm, constructed as before, $||\alpha||$.

I will define an invariant $\kappa(\gamma)$ of a circle bundle γ over X called the *complexity* of γ . Consider the affine subspace $e_{\mathbf{R}}^{-1}(1) \subset H_2(X, \mathbf{R})$, assuming it is not empty. Let $\kappa(\gamma)$ be the inf{ $|| z ||, z \in e_{\mathbf{R}}^{-1}(1)$ }.

THEOREM 5. Let X be a connected topological space and γ a circle bundle over X. Suppose γ reduces to a bundle with discrete structure group G. Then $\kappa(\gamma) \ge 2$.

PROOF. Theorem 4, adapted to real homology, gives

$$||\alpha||/|eh_{\star}\alpha| \geq 2$$

where h is the classifying map of γ and α is any element of $H_2(X, \mathbf{R})$. Or

$$\| \alpha/eh_* \alpha \| \geq 2$$
 for all $\alpha \in H_2(X, \mathbf{R})$.

In particular, any element in $e_{\mathbf{R}}^{-1}(1)$ satisfies this inequality, and this proves the theorem.

5. An application to foliations

THEOREM 6. Assume that X is a differentiable manifold. Let γ be a circle bundle over X and let $\kappa(\gamma)$ be its complexity. If $\kappa(\gamma) < 2$ then there is no codimension-1 foliation on the total space of γ transverse to the fibers.

This follows directly from Theorem 5 and the fact that foliating the total space of γ transverse to the fibers is equivalent to factoring the classifying map of γ through BG; see [7].

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